

FINANCIAL ANALYSIS AND AUDIT DEPARTMENT

NOTICE

SUBJECT: AVAILABILITY OF PRO-FORMA TEMPLATES FOR APPLICATIONS UNDER THE FINANCIAL ANALYSIS AND AUDIT DEPARTMENT (FAAD) ON THE SECURITIES AND EXCHANGE COMMISSION'S (SEC) WEBSITE

The public is hereby informed that, in line with the SEC's mandate to simplify procedures and improve client service, the FAAD removed, simplified, and consolidated specific documentary and procedural requirements for certain types of applications.

These measures are designed to accelerate processing, reduce redundancy, and improve efficiency while ensuring continued compliance with all applicable laws, rules, and regulations.

The Revised Citizen's Charter, reflecting the foregoing changes, will be uploaded to the SEC Website in due course.

In the meantime, the pro-forma templates may be accessed through the following SEC website link under *Forms and Fees > Primary Registration > Financial Analysis and Audit Department (FAAD) Forms*:

<https://www.sec.gov.ph/forms-and-fees/primary-registration/faad-forms/#gsc.tab=0>

For information and guidance of all concerned.

Issued on 12 May 2026 in Makati City, Philippines.