

QUARTERLY PHYSICAL REPORT OF OPERATION

As of 30 June 2026

Department: Department of Finance (DOF)
 Agency: Securities and Exchange Commission (SEC)
 Organization Code: 11 011 0000000

Particulars	UACS Code	Physical Target (FY 2026)					Physical Accomplishment (FY 2026)					Variance	Remarks
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
Capital Market and Corporate Sector Competitiveness Program													
Outcome Indicators													
1) Increase in number of companies adopting ESG standards		-	-	-	92%	92%	-	30.51%					Year-end target
2) Increase in number of PLCs recognized in the Golden Arrow Awards with a score of 100 points and above		-	-	-	43	43	-	0					Year-end target
3) Percentage increase in business registrations		-	-	-	5%	5%	-	7.36%					Year-end target
Output Indicators													
1) Number of approved Initial Public Offerings (IPOs)		1	1	2	1	5	0	0			0	-1	The IPO target was not met due to unfavorable market conditions and deferred issuer filings. However, ongoing regulatory reforms and supportive initiatives, such as the PSE's Listing Engagement and Assistance Program (LEAP), signal an anticipated recovery in listing activity.
2) Number of securities registered, excluding IPOs		6	6	7	6	25	6	4			10	-2	
3) Number of innovative financial products or services tested in the sandbox		1	1	2	1	5	3	1			4	0	
4) Number of approved foreign-owned corporations		250	250	250	260	1,010	1,047	1,210			2,257	960	
Investor Protection Program													
Outcome Indicator													
1) Increase in reach of investor protection and stakeholder education programs		-	-	-	10%	10%	-	-					Year-end target
Output Indicator													
1) Percentage of enforcement actions disposed		14.50%	14.50%	14.50%	14.50%	58%	71.27%	66.02%			68.64%	51.52%	
2) Number of completed investor protection and stakeholder education programs		33	124	48	96	301	166	277			443	153	

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Date: 29 July 2026

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Date: 29 July 2026

FRANCISCO, JAVEY PAUL D.

Officer-in-Charge

Date: 29 July 2026