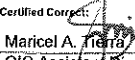


QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS
As at the Quarter Ending September 30, 2025

Department : Department of Finance (DOF)
Agency/Entity : Securities and Exchange Commission
Operating Unit : < not applicable >
Organization Code (UACS) : 11 011 0000000
Fund Cluster : 01 - Regular Agency Fund

Classification/Sources of Revenue and Other Receipts	UACS CODE	REVENUE TARGET (Annual) per BESF	Actual Revenue and Other Receipt Collections					Cumulative Remittance/Deposits to Date			Variance		Remarks
			1st Quarter Ending March 31	2nd Quarter Ending June 30	3rd Quarter Ending September 30	4th Quarter Ending December 31	Total	Remittance to BIR	Deposited with AGOB	Total	Amount	%	
1	2	3	4	5	6	7	8=(4+5+6+7)	9	10	11=(9+10)	12=(8-3)	13=(12/5)	14
Regular Agency Fund		0.00	55,437,175.44	83,254,872.62	171,435,131.28	0.00	310,127,179.34	308,498,194.08	1,374,072.27	309,870,266.35	310,127,179.34	0 %	
Revenue Collections		0.00	54,468,478.91	82,610,888.38	171,037,442.19	0.00	308,116,809.46	308,114,638.01	0.00	308,114,638.01	308,116,809.46	0 %	
Cash Revenue		0.00	54,468,478.91	82,610,888.38	171,037,442.19	0.00	308,116,809.46	308,114,638.01	0.00	308,114,638.01	308,116,809.46	0 %	
Non-Tax		0.00	54,468,478.91	82,610,888.38	171,037,442.19	0.00	308,116,809.46	308,114,638.01	0.00	308,114,638.01	308,116,809.46	0 %	
Registration Fees	4020102000	0.00	29,918,791.70	28,055,761.83	24,095,250.00	0.00	82,067,803.53	82,067,803.53	0.00	82,067,803.53	82,067,803.53	0 %	
Fines and Penalties - Service Income	4020114000	0.00	14,875,861.18	8,815,913.68	11,171,320.70	0.00	34,763,095.54	34,768,364.09	0.00	34,768,364.09	34,763,095.54	0 %	
Other Service Income	4020199009	0.00	9,018,468.91	45,209,583.12	135,097,812.19	0.00	189,326,264.22	189,326,324.22	0.00	189,326,324.22	189,326,264.22	0 %	
Seminar/Training Fees	4020204000	0.00	279,008.00	721,032.00	660,008.00	0.00	1,660,048.00	1,661,546.00	0.00	1,661,546.00	1,660,048.00	0 %	
Sale of Unserviceable Property	4060102000	0.00	0.00	8,197.75	0.00	0.00	8,197.75	8,197.75	0.00	8,197.75	8,197.75	0 %	
Miscellaneous Income	4060999000	0.00	278,349.12	0.00	4,053.30	0.00	282,402.42	282,402.42	0.00	282,402.42	282,402.42	0 %	
Non-Revenue Collections/Other Receipts		0.00	968,696.53	643,984.26	397,689.09	0.00	2,010,369.88	381,556.07	1,374,072.27	1,755,628.34	2,010,369.88	0 %	
Cash Receipts		0.00	968,696.53	643,984.26	397,689.09	0.00	2,010,369.88	381,556.07	1,374,072.27	1,755,628.34	2,010,369.88	0 %	
Others		0.00	968,696.53	643,984.26	397,689.09	0.00	2,010,369.88	381,556.07	1,374,072.27	1,755,628.34	2,010,369.88	0 %	
Post Cash	1010102000	0.00	0.00	715.00	0.00	0.00	715.00	0.00	715.00	715.00	715.00	0 %	
Due from Officers and Employees	1030502000	0.00	330,809.12	606,305.24	258,491.54	0.00	1,195,605.90	381,556.07	559,308.29	940,864.38	1,195,605.90	0 %	
Other Receivables	1030599000	0.00	625,000.00	1,854.04	62,263.56	0.00	679,117.60	0.00	679,117.60	679,117.60	679,117.60	0 %	
Advances for Special Disbursing Officer	1990103000	0.00	12,872.50	0.00	0.00	0.00	12,872.50	0.00	12,872.50	12,872.50	12,872.50	0 %	
Government Equity	3010101000	0.00	14.91	35,109.98	63,793.60	0.00	98,828.49	0.00	98,828.49	98,828.49	98,828.49	0 %	
Water Expenses	5020401000	0.00	0.00	0.00	11,081.69	0.00	11,081.69	0.00	11,081.69	11,081.69	11,081.69	0 %	
Electricity Expenses	5020102000	0.00	0.00	0.00	12,148.70	0.00	12,148.70	0.00	12,148.70	12,148.70	12,148.70	0 %	
GRAND TOTAL		0.00	55,437,175.44	83,254,872.62	171,435,131.28	0.00	310,127,179.34	308,498,194.08	1,374,072.27	309,870,266.35	310,127,179.34	0 %	

Certified Correct:

Maricel A. Terra
OIC Assistant Director, Accounting Division
Date: _____

Recommending Approval By:

Daisy B. Pabuya
OIC Director, Financial Management Department
Date: _____

Approved By:

Francisco Ed. Lim
SEC Chairperson
Date: _____

QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS
As at the Quarter Ending September 30, 2025

Department : Department of Finance (DOF)
Agency/Entity : Securities and Exchange Commission
Operating Unit : < not applicable >
Organization Code (UACS) : 11 011 0000000
Fund Cluster : 05 - Internally Generated Funds

Classification/Sources of Revenue and Other Receipts	UACS CODE	REVENUE TARGET (Annual) per BESF	Actual Revenue and Other Receipts Collections					Cumulative Remittance/Deposits to Data			Variance		Remarks
			1st Quarter Ending March 31	2nd Quarter Ending June 30	3rd Quarter Ending September 30	4th Quarter Ending December 31	Total	Remittance to BTr	Deposited with AGDB	Total	Amount	%	
1	2	3	4	5	6	7	8=(4+5+6+7)	9	10	11=(9+10)	12=(8-3)	13=(12/3)	14
Internally Generated Funds		3,331,409,112.25	913,504,991.48	664,206,919.38	666,790,295.59	0.00	2,544,502,206.45	0.00	2,565,610,987.34	2,565,610,987.34	(766,906,905.80)	-24 %	
Revenue Collections		3,331,409,112.25	913,504,991.48	664,206,919.38	666,790,295.59	0.00	2,544,502,206.45	0.00	2,565,610,987.34	2,565,610,987.34	(766,906,905.80)	-24 %	
Cash Revenue		3,331,409,112.25	913,504,991.48	664,206,919.38	666,790,295.59	0.00	2,544,502,206.45	0.00	2,565,610,987.34	2,565,610,987.34	(766,906,905.80)	-24 %	
Non-Tax		3,331,409,112.25	913,504,991.48	664,206,919.38	666,790,295.59	0.00	2,544,502,206.45	0.00	2,565,610,987.34	2,565,610,987.34	(766,906,905.80)	-24 %	
Registration Fees	4020102000	2,634,758,160.12	611,162,562.33	462,422,242.02	726,863,857.16	0.00	1,800,468,661.51	0.00	1,807,943,908.33	1,807,943,908.33	(834,289,498.61)	-32 %	
Licensing Fees	4020106000	30,821,080.54	12,550,052.61	4,638,053.02	5,275,983.65	0.00	22,464,039.28	0.00	22,458,526.78	22,458,526.78	(6,356,991.26)	-27 %	
Fines and Penalties - Service Income	4020114000	363,656,854.09	119,227,067.14	110,235,263.40	162,318,713.98	0.00	391,781,044.52	0.00	405,943,838.09	405,943,838.09	28,124,190.43	8 %	
Other Service Income	4020199099	301,250,755.21	186,509,309.40	84,588,130.23	69,857,510.09	0.00	322,954,949.72	0.00	322,431,254.72	322,431,254.72	21,794,194.51	7 %	
Seminar/Training Fees	4020204000	922,262.29	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	(922,262.29)	-100 %	
Rent/Lease Income	4020205000	0.00	1,875,000.00	2,245,730.71	2,183,230.71	0.00	6,303,961.42	0.00	6,303,961.42	6,303,961.42	0.00	0 %	
Miscellaneous Income	4060999000	0.00	161,000.00	77,500.00	291,000.00	0.00	529,500.00	0.00	529,500.00	529,500.00	529,500.00	0 %	
GRAND TOTAL		3,331,409,112.25	913,504,991.48	664,206,919.38	666,790,295.59	0.00	2,544,502,206.45	0.00	2,565,610,987.34	2,565,610,987.34	(766,906,905.80)	-24 %	

Certified Correct:
Maricel A. Horia
OIC Assistant Director, Accounting Division
Date:

Recommending Approval By:
Daisy B. Pabuya
OIC Director, Financial Management Department
Date:

Approved By:
Francis Ed Lim
SEC Chairperson
Date:

QUARTERLY REPORT OF REVENUE AND OTHER RECEIPTS
As at the Quarter Ending September 30, 2025

Department : Department of Finance (DOF)
Agency/Entity : Securities and Exchange Commission
Operating Unit : < not applicable >
Organization Code (UACS) : 11 011 8000000
Fund Cluster : 07 - Trust Receipts

Classification/Sources of Revenue and Other Receipts	UACS CODE	REVENUE TARGET (Annual) per BESF	Actual Revenue and Other Receipts Collections					Cumulative Remittance/Deposits to Date			Variance		Remarks
			1st Quarter Ending March 31	2nd Quarter Ending June 30	3rd Quarter Ending September 30	4th Quarter Ending December 31	Total	Remittance to BIR	Deposited with AGDB	Total	Amount	%	
1	2	3	4	5	6	7	8=(4+5+6+7)	9	10	11=(9+10)	12=(8-3)	13=(12/3)	14
Trust Receipts		0.00	8,914,275.94	6,991,912.95	14,890,444.31	0.00	30,796,633.20	20,145,422.43	10,715,789.47	30,861,211.90	30,796,633.20	0%	
Inter-Agency Transferred Funds (IATF) Deposited with the National Treasury		0.00	8,303,465.16	6,861,912.95	10,040,345.62	0.00	25,225,723.73	20,145,422.43	5,144,880.00	25,290,302.43	25,225,723.73	0%	
Legal Research Fee-University of the Philippines		0.00	6,640,235.16	5,373,572.95	8,056,626.62	0.00	20,070,433.73	20,145,362.43	0.00	20,145,362.43	20,070,433.73	0%	
Documentary Stamps Tax		0.00	1,663,230.00	1,508,340.00	1,883,660.00	0.00	5,155,230.00	0.00	5,144,880.00	5,144,880.00	5,155,230.00	0%	
Due from Other Fund		0.00	0.00	0.00	60.00	0.00	60.00	60.00	0.00	60.00	60.00	0%	
Trust Receipts Deposited with Authorized Government Depository Banks (AGDB)		0.00	610,810.78	110,000.00	4,850,098.69	0.00	5,570,909.47	0.00	5,570,909.47	5,570,909.47	5,570,909.47	0%	
Guaranty/Security Deposits Payable		0.00	610,810.78	110,000.00	74,860.00	0.00	795,670.78	0.00	795,670.78	795,670.78	795,670.78	0%	
Customer Deposit Payable		0.00	0.00	0.00	4,775,238.69	0.00	4,775,238.69	0.00	4,775,238.69	4,775,238.69	4,775,238.69	0%	
GRAND TOTAL		0.00	8,914,275.94	6,991,912.95	14,890,444.31	0.00	30,796,633.20	20,145,422.43	10,715,789.47	30,861,211.90	30,796,633.20	0%	

Certified Correct:

Maricel A. Tierra
OIC Assistant Director, Accounting Division
Date:

Recommending Approval By:

Daisy B. Pabuya
OIC Director, Financial Management Department
Date:

Approved By:

Francisco Ed. Lim
SEC Chairperson
Date: