

NOTICE

Subject: SEC CERTIFICATION EXAMINATION SCHEDULES FROM JUNE TO DECEMBER 2026 AT THE SEC HEADQUARTERS, APPLICATION PROCEDURES, AND FULL IMPLEMENTATION OF THE SEC AUTOMATED CERTIFICATION EXAMINATION SYSTEM (ACES)

The Securities and Exchange Commission (SEC) announces the schedules and application procedures for the Certification Examinations from June to December 2026, to be conducted at the SEC Headquarters through the SEC Automated Certification Examination System (SEC ACES), under its full implementation beginning June 2026.

In this regard, the SEC invites prospective examinees and other concerned parties to a free orientation webinar on **9 June 2026 at 2:00 PM** via Zoom Communications, which will discuss the procedures for the account registration and payment. Interested participants may register through the following link: <https://bit.ly/SECACESOrientation9June2026>

Passing the Certification Examinations is mandatory for individuals aspiring to become registered Certified Investment Solicitors, Equity Securities Salesmen, Fixed Income Market Salesmen, and Compliance Officers/Associated Persons for a Broker-Dealer in the Equities Market.

All examination applicants are advised to carefully read, understand, and follow the steps outlined below.

1. Application Process

Application Platform: [SEC ACES](https://aces.sec.gov.ph) (aces.sec.gov.ph)

1.1. Examination Schedules

Month	Days
June	1, 3, 4, 8, 10, 11, 15, 17, 18, 22, 24, 25, and 29
July	1, 2, 6, 8, 9, 13, 15, 16, 20, 22, 23, 27, 29, and 30
August	3, 5, 6, 10, 12, 13, 17, 19, 20, 24, 26, and 27
September	2, 3, 7, 9, 10, 14, 16, 17, 21, 23, 24, 28, and 30
October	1, 5, 7, 8, 12, 14, 15, 19, 21, 22, 26, 28, and 29
November	4, 5, 9, 11, 12, 16, 18, 19, 23, 25, and 26
December	2, 3, 7, 9, and 10

Time:

- 9:00 AM
- 1:30 PM

Venue: The SEC Headquarters, 10th Floor, SEC Examination Room, 7907 Makati Avenue, Salcedo Village, Bel-Air, Makati City, 1209

1.2. Application and Payment Procedure

Applicants should refer to the [SEC ACES User Manual](#) for comprehensive, step-by-step guidance on the application, scheduling, and payment process.

Application Deadline: Applications for examination will close one (1) working day before the scheduled date or once all slots are filled, excluding non-working holidays.

1.2.1 Access SEC ACES

Access SEC ACES at aces.sec.gov.ph using a registered Electronic SEC Universal Registration Environment (eSECURE) account.

Applicants who do not yet have an eSECURE account must create one first at esecure.sec.gov.ph. Please note that only the initial account registration in eSECURE is required to access the SEC ACES, and completion of the eSECURE credentialing process is not required for this purpose.

Phase 2 Certification Examination applicants who passed Phase 1 from May 11, 2017 to May 28, 2026, must send an email to aces@sec.gov.ph indicating their complete name (given name, middle name, and surname) and the date of passing of the Phase 1 Certification Examination for verification. Once verified, the applicant's SEC ACES account will be enabled to proceed with the selection of the Phase 2 examination schedule. The applicant shall likewise receive an email confirming that the account has been enabled.

Applicants with an existing SEC ACES account may proceed directly to the next step.

1.2.2 Select a Schedule

Log in to the SEC ACES using the credentials created during sign-up in eSECURE. Navigate the "Exam" tab to select the examination, location/venue, and desired date and time from the available options.

1.2.3 Make a Payment

After selecting a schedule, the applicant will be redirected to the Electronic System for Payment to SEC (eSPAYSEC) portal. Pay the corresponding fee within one hour to secure selected schedule.

1.2.4 Receive Confirmation

Once payment is successfully completed, the applicant will be redirected to the SEC ACES portal confirming the schedule.

Access to the SEC Body of Knowledge (SEC BOOK) for Phase 1 shall be granted to the registered email address of Phase 1 examinees.

2. Mode of Examination

The examination will be conducted in-person, proctored, and computer-based.

3. Examination Fees

The examination fee per person, per schedule, for either Phase 1 or Phase 2 is PhP500.00.

A transaction fee, as determined by the Commission's partner payment channels, will be applied to the total fee. Payments are non-transferable and non-refundable.

4. Examination Day Requirements

Examinees must bring the following on the day of the examination:

- Two (2) original valid ID cards;
- One (1) blue or black ballpoint pen; and
- One (1) basic/ordinary calculator (*scientific/financial/programmable calculators are not allowed*).

Notes:

- Examinees must observe the [SEC Visitor's Dress Code Policy](#) to ensure smooth entry into the SEC premises.
- Examinees are required to arrive at the venue at least 15 minutes before the scheduled time.
- Food and snacks are strictly prohibited inside the examination room.

5. Examination Rescheduling and Absences

- Requests for rescheduling, regardless of cause or reason, will not be accommodated.
- Failure to appear on the day of the examination will result in forfeiture of payment.

6. Release of Results

Examination results will be released on the same day, after the last scheduled session, if applicable, through the SEC website and the examinee's SEC ACES account, where examinees may also view their scores.

Examination slots per session and schedule are subject to change in accordance with any applicable public declarations of work suspension or non-working holidays that may take effect during the examination date. Should such circumstances arise, the affected examinees will be notified with instructions to reschedule their examination.

For any further queries regarding the foregoing, interested parties are advised to get contact the ERTD–Market Professionals Development Division (MPDD) through the following:

- Email: aces@sec.gov.ph
- Landline: (+63) 2-8818-7256
- Mobile: (+63) 998-841-3545

Issued on 20 May 2026 in Makati City, Philippines.