

NOTICE

Subject: SEC WEBINAR – AML/CFT COMPLIANCE SERIES: UNDERSTANDING CURRENT AND EMERGING ML THREATS THROUGH THE 3RD NATIONAL ML/TF/PF RISK ASSESSMENT

The Commission announces the conduct of the SEC Webinar – AML/CFT Compliance Series: Understanding Current and Emerging ML Threats through the 3rd National Money Laundering, Terrorist Financing, and Proliferation Financing (ML/TF/PF) Risk Assessment.

This webinar is free of charge and open to all capital market professionals to increase awareness and deepen understanding of the 3rd National Risk Assessment on (ML/TF/PF). Through this session, participants will be guided to align their risk-based approaches, strengthen internal controls, and improve their AML/CFT/CPF compliance frameworks.

All interested parties are advised to take the time to read, understand, and follow the registration procedure accordingly:

1. Webinar Schedule

SEC Webinar	Schedule	Time
SEC Webinar – AML/CFT Compliance Series: Understanding Current and Emerging ML Threats through the 3rd National ML/TF/PF Risk Assessment	29 May 2026 (Friday)	1:00 PM to 4:00 PM

2. Webinar Objectives

The webinar aims to:

- Provide an overview of the key findings of the 3rd National ML/TF/PF Risk Assessment;
- Promote the adoption of a risk-based approach in AML/CFT/CPF compliance programs;
- Strengthen the capacity of covered persons to detect, prevent, and report suspicious activities;
- Identify and explain current and emerging money laundering threats relevant to SEC-covered persons; and
- Enhance participants' understanding of high-risk predicate crimes and their typologies.

3. Webinar Topics

- Overview of the 3rd National ML/TF/PF Risk Assessment
- Implications for SEC-covered persons
- Strengthening AML/CFT/CPF controls using a risk-based approach
- High-risk predicate crimes and sectoral vulnerabilities
- Emerging ML/TF typologies (e.g., cyber scams, OSAEC, environmental crimes, and drug trafficking)
- Case studies

4. Mode of Conduct

The SEC Webinar – AML/CFT Compliance Series shall be conducted online via Zoom. Access details shall be provided to duly registered participants prior to the scheduled webinar.

5. First Come, First Served Policy

While the webinar is free of charge, the slots are limited and interested participants shall be accommodated on a first-come, first-served basis.

6. Registration and e-Certificate

Interested participants may register through the link below:

- **Registration Link:** <https://bit.ly/AMLCFT29MAY2026>

Confirmation and webinar access details shall be sent to duly registered participants prior to the scheduled webinar.

The e-Certificate of Attendance shall be issued to participants, subject to the attendance and completion requirements of the webinar.

For further queries on the foregoing, interested parties are advised to get in touch with the ERTD–Market Professionals Development Division (MPDD) through the following:

- Email: mpdd-ertd@sec.gov.ph
- Landline: (+63) 2-8818-7256
- Mobile: (+63) 998-841-3545

Issued on 28 April 2026 in Makati City, Philippines.