

SEC ADVISORY

This Advisory is prompted by reports received by the Commission that unidentified individuals are utilizing an SEC registered corporate name, letterhead and logo including the identity of its personnel in committing fraudulent scheme.

Based on the reports, the unidentified individuals who are posing as representatives of **AURORA SECURITIES, INC.**, are fraudulently using **AURORA SECURITIES, INC.**'s name, logo, letterhead and the identity of its personnel, by sending deceptive letters to the public through WhatsApp and Viber and falsely claiming that recipients maintain investment portfolio with their company and must settle tax obligations before withdrawing the funds.

Upon evaluation thereof, it is evident that the reported scheme is an Advance-Fee Scam which is a form of fraud and one of the most common types of confidence trick. The scam typically involves promising a victim a significant share of a large sum of money, in return for a small up-front payment, which the fraudster requires in order to obtain the large sum. If a victim makes the payment, the fraudster either invest a series of further fees for the victim or simply disappears. Hence, the public is warned to take extra-precaution in entering into these kinds of arrangements with strangers that ask for up-front payment via money order, wire transfer, common form like bitcoin or any crypto currencies. It is rare to recover money sent through this kind of payment.

AURORA SECURITIES, INC., has reported to the Commission the activities of unidentified individuals who have been using their company's name, letterhead and logo including the identity of its personnel on social media through "*WhatsApp and Viber*" to mislead the public that their investment scheme is legitimate.

Apparently, the unidentified individuals are impersonating and using the name, letterhead and logo of **AURORA SECURITIES, INC.**, including the identity of its personnel to give their operations the appearance of legitimacy to mislead the public.

Hence, the public is hereby advised not to transact and to exercise caution in dealing with individuals who are utilizing the corporate name, letterhead and logo of **AURORA SECURITIES, INC.**, including the identity of its personnel in committing fraud.

Should you have any information regarding the reported activities, please send your reports to this Department through imessage.sec.gov.ph or you may visit the Commission's Enforcement and Investor Protection Department (EIPD) located at the 9/F, The SEC Headquarters, 7907 Makati Avenue, Salcedo Village, Brgy. Bel-Air, Makati City.

For the information and guidance of the public.

Makati City, 28 April 2026.