

FY 2025 PHYSICAL PLAN

Department: Department of Finance (DOF)
 Agency: Securities and Exchange Commission (SEC)
 Organization Code: 11 011 0000000

Particulars	UACS Code	Physical Target (Budget Year)				
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total
1	2	3	4	5	6	7
SCORE - SRC and RCC Compliance						
Outcome Indicators						
1) Average Compliance rate of regulated entities increased		-	-	-	-	75.88%
2) Percentage of retail participation in the capital market/various investment products increased		-	-	-	-	1.52%
Output Indicators						
1) Percentage of regulated entities		-	-	-	-	76.67%
A. Credit Rating Agencies/ Property		100%	100%	100%	100%	100%
B. Ordinary Corporations						
CRMD		7.5%	7.5%	7.5%	7.5%	30%
Extension Offices		7.5%	7.5%	7.5%	7.5%	30%
C. Investment Companies/ Funds		100%	100%	100%	100%	100%
E. Proprietary/ Non-Proprietary		100%	100%	100%	100%	100%
F. PLCs		100%	100%	100%	100%	100%
GEARING UP! – The Enforcement and						
Outcome Indicator						
1) Case disposition rate (i.e. either filed in court with DOJ, dismissed by SEC, revoked by SEC, fined by SEC, archived by SEC within prescribed time) increased		-	-	-	-	60% within processing time of 210 days (complex) and 365 days (highly technical)
Output Indicator						
1) Percentage of tips, referrals and complaints acted upon (i.e. acknowledged, verified, referred) within prescribed time		60%	60%	60%	60%	60% within the period as prescribed under Sec. 5, RA. No. 6713

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SEC CAN! – SEC Communication,						
Outcome Indicator						
1) Equity market capitalization relative to GDP (%) increased		-	-	-	-	69.02%
2) Size of local currency bond market in % of GDP increased		-	-	-	-	49.09%
Output Indicator						
1) Number of investment promotion advocacy campaigns conducted		73	73	73	73	292

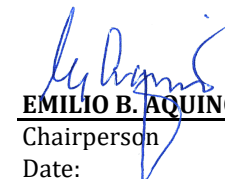
Prepared by:


AUREA THERESA M. LAXINTO
 Planning Services Head/Planning Officer
 Date:

In coordination with:


LESTER V. DELA MERCED
 Financial Services Head/Budget Officer
 Date:

Approved by:


EMILIO B. AQUINO
 Chairperson
 Date: