QUARTERLY PHYSICAL REPORT OF OPERATION
As of 30 June 2025

Department: Department of Finance (DOF)
Agency: Securities and Exchange Commission (SEC)
Organization Code: 11 011 0000000

Particulars	UACS Code	Physical Target (FY 2025)					Physical Accomplishment (FY 2025)					Variance	Remarks
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
SCORE - SRC and RCC Compliance of Regulated Entities													
1) Average Compliance rate of regulated entities increased						75.78%	-	-					*Year-end Target*
2) Percentage of retail participation in the capital market/various investment products increased						1.52%	-	-					*Year-end Target*
Output Indicators													
1) Percentage of regulated entities monitored													
A.Credit Rating Agencies/ Property Valuers		100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%			100.00%	0.00%	Q1 = 25 of 25 Q2 = 23 of 23
B. Ordinary Corporations													
CRMD		7.50%	7.50%	7.50%	7.50%	30.0%	16.84%	15.02%			15.93%	-14.07%	Q1 = 8,029 of 47,669 Q2 = 7,161 of 47,669 Total = 15,190 of 95,338
Extension Offices (Bacolod, Baguio, Butuan, Cagayan de Oro, Cebu, Davao, Iloilo, Legazpi, Tacloban, Tarlac, Zamboanga)		7.50%	7.50%	7.50%	7.50%	30.0%	32.21%	28.34%			30.27%	0.31%	*Note: Q1 accomplishment revised from 34.53% [12,315/35,667] to 32.21% [12,315/38,232] due to a change in the total number of ordinary corporations to be monitored.* Q1 = 12,315 of 38,232 Q2 = 10,894 of 38,445 Total = 23,209 of 76,677
C. Investment Companies/ Funds (Audit)		100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%			100.00%	0.00%	Q1 = 79 of 79 Q2 = 79 of 79
D. Proprietary/ Non-Proprietary Securities Issuers		100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%			100.00%	0.00%	Q1 = 96 of 96 Q2 = 96 of 96

QUARTERLY PHYSICAL REPORT OF OPERATION
As of 30 June 2025

Department: Department of Finance (DOF)
Agency: Securities and Exchange Commission (SEC)
Organization Code: 11 011 0000000

Particulars	UACS Code	Physical Target (FY 2025)					Physical Accomplishment (FY 2025)					Variance	Remarks
		1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter	Total		
1	2	3	4	5	6	7	8	9	10	11	12	13	14
E. PLCs		100.00%	100.00%	100.00%	100.00%	100.00%	100.00%	100.00%			100.00%	0.00%	*Note: Q1 accomplishment revised from 89.95% [358/398] to 100% [283/283]. While the nature/scope of monitoring differs between the concerned units, each PLC was monitored for compliance.* Q1 = 283 of 283 Q2 = 284 of 284
GEARING UPI – The Enforcement and Investor Protection Program													
Outcome Indicator													
1) Case disposition rate (i.e. either filed in court with DOJ, dismissed by SEC, revoked by SEC, fined by SEC, archived by SEC within prescribed time) increased						60%	-	-	-		-		***Year-end Target***
Output Indicator													
1) Percentage of tips, referrals and complaints acted upon (i.e. acknowledged, verified, referred) within prescribed time		60.00%	60.00%	60.00%	60.00%	60.00%	100%	100%			100.00%	40.00%	Q1 = 508 of 508 Q2 = 656 of 656
SEC CANI – Communication, Advocacy and Network													
Outcome Indicator													
1) Equity market capitalization relative to GDP (%) increased						69.02%	-	-	-		-		***Year-end Target***
2) Size of local currency bond market in % of GDP increased						49.09%	-	-	-		-		***Year-end Target***
Output Indicator													
1) Number of investment promotion advocacy campaigns conducted		73	73	73	73	292	205	242			447	132	Investment promotion advocacy campaigns are composed of the ff: • Seminars/webinars - Q1 = 94 Q2 = 98 • **Media Engagement -** Q1 = 111 Q2 = 144

Prepared by:

LAXINTO, AUREA THERESA M.
Planning Officer
Date: 30 July 2025

In coordination with:

Digitally signed by Dela Merced Lester Vergara
DELA MERCED, LESTER V.
Budget Officer
Date: 30 July 2025

Approved by:

LIM, FRANCISCO ED.
Chairperson
Date: 30 July 2025