

FINANCIAL ANALYSIS AND AUDIT DEPARTMENT**NOTICE****SUBJECT: LISTS OF APPLICATIONS ACCEPTED AT THE FINANCIAL ANALYSIS
AND AUDIT DEPARTMENT (FAAD)**

The public is hereby advised that the following services may be availed by Filipino National Domestic Corporations or those with foreign equity ownership of forty percent (40%) or less:

1. Requests for Acknowledgement of Cash or Stock Dividend Declaration (for shares that are not listed);
2. Certification of Paid-up Capital/Capital Structure/Percentage of Ownership;
3. Confirmation of Valuation;
4. Creation of Additional Paid-in Capital (APIC);
5. Creation of Bonded Indebtedness;
6. Decrease of Capital Stock;
7. Equity Restructuring;
8. Increase of Capital Stock by way of cash
9. Increase of Capital Stock through payment other than cash;
10. Other amendments to the Articles of Incorporation and/or By-laws accompanied by its increase and decrease of capital stock;
11. Petition for Cancellation/Substitution of Payment in relation to the Use of Properties that Require Ownership Registration as Paid-up Capital
12. Property Dividend Declaration;
13. Quasi-Reorganization
14. Reclassification/Declassification/Conversion of Shares/Change in Par Value

Please note that the eAMEND system of the Commission now accepts applications for increase of authorized capital stock, whether by cash or through payment other than cash.

Furthermore, if the requesting corporation has any pending or intended application/s under the jurisdiction of the FAAD, the issuance of monitoring clearance shall be undertaken by the FAAD Monitoring Unit.

Meanwhile, pursuant to the Commission *En Banc* resolution on 11 February 2025, the following services may only be availed at the Financial Analysis and Audit Department:

1. Mergers & Consolidation; and
2. Mergers & Consolidation for Banks under Joint Circular no. 1, Series of 2022 issued jointly by SEC, BSP, PCC and CDA.

For inquiries, submissions, and related concerns, you may reach us through the following official email addresses:

faad_inquiry@sec.gov.ph	For inquiries and other concerns
faad_application@sec.gov.ph	For all FAAD applications
faad_monitoring@sec.gov.ph	For monitoring requests/ concerns
mergersconsobanks_faad@sec.gov.ph	For mergers and consolidations of banks

For your guidance and compliance.

Issued on 23 October 2025 in Makati City, Philippines.