

FINANCING AND LENDING COMPANIES DEPARTMENT

NOTICE

FOR: ALL FINANCING COMPANIES AND LENDING COMPANIES

SUBJECT: FILING FEE FOR THE APPLICATION FOR AMENDMENT OF BUSINESS PLAN

DATE: 27 JANUARY 2025

Relative to the submission of the Business Plan required of Financing Companies (FCs) and Lending Companies (LCs) under SEC Memorandum Circular No. 3, Series of 2022 (SEC MC 3).

Section 5 of the SEC Memorandum Circular No. 3, series of 2022 provides:

xxx.

In case of amendments to the Business Plan, the LCs and FCs must submit an amended Business Plan, underlining the changes therein. The LCs and FCs must secure the prior approval of the Commission before they can implement the material change to the Business Plan.

Pursuant to the consolidated schedule of fees and charges imposed and collected by the Securities and Exchange Commission as approved by the Department of Finance (DOF) under SEC Memorandum Circular No. 3, series of 2017 which provides:

xxx.

OTHER FILING FEES	
PARTICULARS	RATES
Any other filing made requiring action by the Department	P 2,000 + LRF ¹

In this connection, notice is hereby given to ALL Financing and Lending Companies who seeks to amend their existing Business Plans to pay a filing fee amounting to P2,000.00 starting 01 February 2025. Pending applications submitted prior to the effectivity date **will incur no fees**.

This Notice shall be effective on 01 February 2025.

For your information and guidance.

¹ A Legal Research Fee (LRF) equivalent to 1% of the Filing Fee but not less than Ten Pesos (P 10.00) shall be paid at the time of filing of application for Registration, Licensing, Accreditation, and Other Related Transactions pursuant to SEC Memorandum Circular No. 3, series of 2017.