



ADVISORY

The Securities and Exchange Commission ("Commission") has received information that the name and logo of **Alpha Securities Corporation** are being used without authority by unknown individuals in connection with an investment scam. According to reports, certain persons claimed to have invested with Alpha Securities Corporation by sending money, but upon verification, these individuals were never clients of the company and had not opened accounts with it.

For the awareness of the investing public and all market participants, **Alpha Securities Corporation** clarified that all official transactions with the company are conducted only at its office, particularly account opening which requires the personal appearance of the client. All deposits and payments are made exclusively to the company's official bank accounts. The company does not maintain or use GCash accounts, WhatsApp accounts, or freelance agents for its business operations.

The public is strongly advised to exercise caution when dealing with any individual or group of persons claiming to represent **Alpha Securities Corporation** and to refrain from investing or transacting with unauthorized accounts, websites, or persons. The unauthorized use of a company's name and logo for investment solicitations is a form of investment fraud prohibited under the Financial Products and Services Consumer Protection Act (FCPA) and the Securities Regulation Code (SRC). Those who act as salesmen, brokers, dealers, agents, representatives, recruiters, or enablers of such schemes may be held criminally liable and penalized with fines of up to Five Million Pesos (₱5,000,000), imprisonment of up to twenty-one (21) years, or both, pursuant to Section 73 of the SRC.

Should you have any information regarding the unauthorized use of Alpha Securities Corporation's name and logo, you may report the same to the Enforcement and Investor Protection Department (EIPD) through email at epd@sec.gov.ph or visit the EIPD at the 9/F, SEC Headquarters, 7907 Makati Avenue, Salcedo Village, Brgy. Bel-Air, Makati City.

For the guidance of the public.

Makati City, 07 October 2025