



ADVISORY

It has come to the attention of the Securities and Exchange Commission (SEC) that a number of investors, including teachers, have fallen victim to an investment scam by a cosmetics and skincare company mainly operating in Laguna.

The SEC is calling on the victims to file a complaint and/or submit any information that will help the Commission build a case against the individuals and groups behind the investment scam and ultimately bring them to justice. The Commission is also opening its offices to provide legal advice and assistance to the victims.

For this purpose, the SEC has activated a dedicated help desk on the ground floor of The SEC Headquarters at 7907 Makati Avenue, Bel-Air, Makati City, where victims may file complaints or seek legal assistance.

The SEC Extension Offices are likewise prepared to provide legal assistance to persons who have engaged with or invested in the cosmetics and skincare company. Alternatively, victims may file complaints with the SEC Enforcement and Investor Protection Department through email at epd@sec.gov.ph using the attached form.

The SEC reiterates its advice to the public to exercise diligence in dealing with entities offering get-rich-quick schemes or promising earnings that appear too good to be true.

The Commission also underscores that registered corporations are not automatically authorized to solicit investments from the public. Under Republic Act No. 8799, otherwise known as the Securities Regulation Code, entities seeking to engage in the business of buying or selling securities, including investment contracts, must secure a secondary license with the SEC. Failure to secure such license shall subject the company, including entities or individuals found to be offering or promoting the same, to the appropriate penalties under the law.

To ensure the legitimacy of the company and the investment products being offered, always make sure to check with SEC through checkwithsec.sec.gov.ph.



ENFORCEMENT AND INVESTOR PROTECTION DEPARTMENT

IMPORTANT: To avoid outright dismissal of your complaint:

- Always fill out the Complaint Form **completely and accurately**.
- Do not forget to **attach all relevant documents/evidence/proof** to support your complaint.
- Submit **ONE COMPLAINT FORM PER RESPONDENT PERSON/ENTITY**.
- Provide us with a **valid government issued I.D.**

PRIVACY NOTICE: We shall collect the following personal information/documents upon your submission of your complaint/s either manually or electronically such as but not limited to:

- Full Name
- Age
- Sex
- Address (address in the Philippines where you may be furnished a copy of letter/s and order from the Commission)
- E-mail address
- Contact number
- Government-issued I.D.

Use: The collected personal information shall be utilized for documentation and for the processing of your complaint/s within the SEC and, when appropriate, endorsement to other government agency/ies which has/have jurisdiction over the subject of your complaint.

While the actual Complaint form (Part II), including its attachments, shall be used to further enforcement action including Court proceedings, whenever applicable.

The SEC may also process the personal information collected in order to carry out its constitutionally and statutorily mandated functions, including, but not limited to, conduct of research, in coordination with the central monetary authority and/or other law enforcement and regulatory agencies, for policy recommendations. (Section 4(e), R.A. 10173, or the Data Privacy Act of 2012).

Protection Measures: Only authorized SEC personnel has access to this personal information, the exchange of which, will be facilitated through email and hard copy. SEC will only retain personal data **as long as necessary** for the fulfillment of the purpose.

DISCLAIMER: This complaint form is **exclusively for unauthorized investment schemes or Ponzi Schemes**. Other issues against persons/entities related to lending, intra-corporate controversies (condominium corporation/association disputes), and such other offenses not connected with any Securities Regulation Code (SRC) violations or those involving *Ultra Vires* acts punishable under the Revised Corporation Code (RCC), are beyond the jurisdiction of the EIPD.

Consequently, **any complaint form submitted containing matters beyond the EIPD's jurisdiction will be deemed closed and terminated for lack of jurisdiction.**

Ang form na ito ay limitado lamang para sa mga hindi awtorisadong investment schemes o Ponzi Schemes. Ang ibang mga isyu laban sa mga personalidad o entidad na may kinalaman sa pagpapautang o intra-corporate na mga kontrobersya (isyu o patatalo kaugnay sa condominium corporation/association) o mga daing na hindi konektado sa SRC or RCC ay hindi saklaw ng hurisdiksyon ng EIPD.

Sa kadahilanang ito, ang lahat ng complaint forms na isinumite na may mga isyung labas sa hurisdiksyon ng EIPD ay ituturing na sarado at tapos dahil sa kakulangan ng hurisdiksyon.

COMPLAINANT PROFILE PAGE (PART I)

Date: _____

Name: _____
(Surname) (Given name) (Middle Name)

Sex assigned at birth: ☐ Male
☐ Female
☐ Prefer not to say

Age: ☐ 18-30 years old
☐ 31-50 years old
☐ 51-60 years old
☐ 61 years old onwards

Mailing Address: _____

Telephone number/s: _____

Cellphone number/s: _____

E-mail address/es: _____

Monthly income:

- | | | |
|---|--|--------------------------------------|
| ☐ ₱ 9,100 and below | ☐ ₱ 36,401-63,700 | ☐ Above ₱182,000 |
| ☐ ₱ 9,101-18,200 | ☐ ₱ 63,701-109,200 | |
| ☐ ₱ 18,201-36,400 | ☐ ₱109,201-182,000 | |

COMPLAINT FORM
(PART II)

Name of Complainant: _____
(Surname) (Given name) (Middle Name)

1. What is the name or known identity of the respondent? Please give the fullname of the person or the name of the entity subject of the complaint.

Ano ang pangalan o kakilanlan ng inirereklamo? Paki bigay ang buong pangalan o kakilanlan ng tao o entidad/kumpanya na inirereklamo.

2. What specific date/s did you meet/come to know of the respondent?

Kailan mo nakilala/nalaman ang tungkol sa personalidad/entidad na inirereklamo?

3. Through which location, social media platform, or website did you learn about the person/entity subject of the complaint? Kindly provide the exact physical address, social media handle or username, URL, or web address.

Saang lokasyon, social media platform, o website mo nalaman ang tungkol sa inirereklamo? Mangyaring ibigay ang eksaktong pisikal address, username o pangalan sa social media, URL, o web address.

4. Who invited you to join the scheme being offered by the respondent person/entity? Kindly provide the full name/s, address/es, and contact information of the person/s.

Sino ang nag-imbata sa iyo na sumali sa programa na inaalok ng inirereklamo? Pakibigay ang buong pangalan, address, at contact information.

5. Describe the investment scheme offered/activities by the respondent person/entity? Please provide a complaint narrative, including relevant dates and information detailing your own personal experience of the respondent's activities. (Use additional sheet if necessary):

Ilarawan ang iskema ng pamumuhunan na ginamit ng inirereklamo. Paki salaysay ang iyong reklamo, kasama ang mga kaugnay na petsa, na nagdedetalye ng iyong sariling karanasan sa mga paglabag ng kumpanya; (Gumamit ng karagdagang papel kung kinakailangan):

[illegible]

6. When and where did you make your investments with respondent? Kindly provide the amount invested, expected payout, date or schedule of payout, and other information relative hereto.

Kailan at saan mo ibinigay ang iyong mga pamumuhunan sa inirereklamo? Paki sulat ang halagang inilagak, inaasahang kita, petsa or iskedyul ng payout, at iba pang impormasyong patungkol dito.

7. Kindly attach the evidence to support your complaint against respondent. Please provide the list of attachments submitted below:

Pakilakip ang ebidensya upang suportahan ang iyong aksyon laban sa inirereklamo. Paki listahan ang mga kalakip na dokumentong isinumite u:

TO THE TRUTH OF THE FOREGOING, I have signed this complaint on _____
at SEC Headquarters, Makati City, Philippines.

Signature over printed name
Complainant

Date